

Plan Advisor Comparison Chart



Not all plan advisors are created equal. Use the chart below to assess whether your plan advisor offers one-, two-, three-, or four-star services.

		Fisher\SMB™ Solution			
		★	★★	★★★	★★★★
Product Set	Supports a wide variety of plan types/features	✓	✓	✓	✓
Legal Protection	Acts directly as a 3(38) Investment Manager		✓	✓	✓
Incentive Structure	Commits in writing to receive no form of revenue sharing		✓	✓	✓
Expertise	Is a registered Investment Plan Adviser with the SEC with no disciplinary actions		✓	✓	✓
	Manages more than 100 small business plans			✓	✓
Investment Solutions	Offers a wide variety of investment solutions including self-directed brokerage accounts			✓	✓
	Offers open architecture and institutional class funds			✓	✓
Simplified Plan Administration and Vendor Management	Provides a dedicated point-of-contact for all plan servicing needs				✓
	Provides ongoing plan management support, including help with payroll, compliance testing, plan benchmarking, and creation of a fiduciary audit file				✓
Participant Service	Provides proactive one-on-one guidance to plan participants during enrollment and on an ongoing basis				✓
	Provides language support in English and Spanish, and translation services for most other languages				✓
	Commits in writing to a service guarantee that includes a fee waiver				✓